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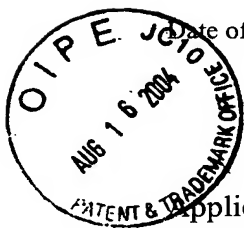
PATENT

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8/13/04 JTR

John T. Pienkos, Reg. No. 42,997



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): David Charles Schwartz, *et al.*
Serial No.: 10/713,898
Filed: October 18, 2002
For: MICRO FLUIDIC SYSTEM FOR SINGLE MOLECULE IMAGING
Docket No.: 960296.99047

INFORMATION DISCLOSURE STATEMENT

This paper is being presented for filing in the above case pursuant to Rules 97 and 98 of the Rules of Practice.

Four sets of Forms PTO/SB/08A "Information Disclosure Statement by Applicant" are attached. The first set of Forms PTO/SB/08A lists newly-cited references, and copies of the references are enclosed.

As for the remaining three sets of Forms PTO/SB/08A, these respectively list references that were cited during the prosecution of three patent applications of which the present Application claims the benefit, namely, U.S. patent application Nos. 09/962,802 (now U.S. Patent No. 6,610,256); 08/855,410 (now U.S. Patent No. 6,294,136); and 08/415,710 (now U.S. Patent No. 5,720,928). The Applicants respectfully submit that, pursuant to 37 CFR 1.98(d), no copies of the references listed on these Forms PTO/SB/08A need be submitted to the Patent Office.

No additional fees for filing this paper are believed to be due. However, the Commissioner is hereby authorized to charge any additional fees due or to credit any overpayment to deposit account no. 17-0055.

Respectfully submitted,
DAVID CHARLES SCHWARTZ, *et al.*

By: JTR

John T. Pienkos
Reg. No. 42,997
Attorney for Applicant
Quarles & Brady LLP
411 E. Wisconsin Avenue
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(414) 277-5777

**Complete if Known**

(Use as many sheets as necessary)

Sheet	1	of	2
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Application Number	10/713,898
Filing Date	October 18, 2002
First Named Inventor	David Charles Schwartz, et al.
Art Unit	
Examiner Name	
Attorney Docket Number	960296.99047

U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY			
		WO 94/18218	08-18-1994	Seq, Ltd.		
		WO 00/09757	02-24-2000	U.S. Genomics		
		PCT Int'l Search Report				

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		Application Number	10/713,898		
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Sheet	2	of	2	Attorney Docket Number	960296.99047

OTHER PRIOR ART--NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Chih-Ming Ho, "Fluidics - The Link Between Micro and Nano Sciences and Technologies", Proceedings of the IEEE 14th Annual International Conference On Microelectro Mechanical Systems. MEMS 2001. Interlaken, Switzerland, Jan 21-25,	
		2001, IEEE International Micro Electro Mechanical Systems Conference, New York, NY: IEEE, US, vol. CONF. 14, (01-21-2001), pgs 375-384, XP010534628 ISBN: 0-7803-5998-4, pg 378-379.	
		Unger M A Et Al: "Monolithic Microfabricated Valves and Pumps by Multilayer Soft Lithography", Science, American Association For The Advancement Of Science, US, vol. 288, 04/07/2000, pgs. 113-116, XP002192277 ISSN: 0036-8075 Figure 1.	
		Stix, Gary; "Thinking Big-A Harvard Medical School dropout aims to usher in the personal-genomics ear," Innovations, Scientific American, June 2002, pgs. 30-31.	
		Stikeman, Alexandra, "Nanobiotech Makes The Diagnosis," Technology Review, May 2002, pgs. 61-66.	

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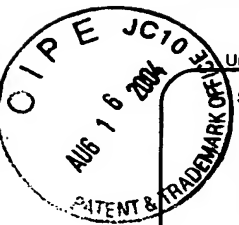
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Prior Art Cited in US. PATENT NO. 5,720,928



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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 9

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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,473,452	Sep., 1984	Cantor et al.	
		US- 4,695,548	Sep., 1987	Cantor et al.	
		US- 4,737,251	Apr., 1988	Carle et al.	
		US- 4,767,700	Aug., 1988	Wallace	
		US- 4,870,004	Sep., 1989	Conroy et al.	
		US- 5,059,294	Oct., 1991	Lizardi	
		US- 5,079,169	Jan., 1992	Chu et al.	
		US- 5,314,829	May, 1994	Coles	436/165
		US- 5,380,833	Jan., 1995	Urdea	
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		FR 2605472	Apr., 1988	Alain Bouillet		
		WO 84/02001	May, 1984	Trustees of Columbia Univers		
		WO 87/01955	Sep., 1987	Washington University		

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		Chattoraj et al., "DNA Condensation with Polyamines", J. Mol. Biol. 121, (1978), pp.327-337.	
		Ohi et al., "Mapping of Mitochondrial 4S RNA Genes... by Electron Microscopy", J. Mol. Biol. 212, (1978), pp 299-310.	
		Manuelidis et al, Biol. Abstr. 76(4); Ref. No. 27153; p. 2940.	
		Bensimon, A. et al., 1994, "Alignment and Sensitive Detection of DNA by a Moving Interface" Science 265: 2096.	
		Perkins, T.T. et al., 1994, "Direct Observation of Tube-like Motion of a Single Polymer Chain", 264: 819-822.	
		Cohen et al., 1993, "A first-generation physical map of the human genome", Nature 366: 698-701.	
		Guo et al., 1993, "Sizing of Large DNA Molecules by Hook Formation in a Loose Matrix", J. Biomol. Structure and Dynamics 11: 1-10.	
		Hansma, H.G. et al., 1993, "Atomic force microscopy of DNA in aqueous solutions", Nucleic Acids Research 21: 505-512.	
		Karrasch, S. et al., 1993, "Covalent Binding of Biological Samples to Solid Supports for Scanning Probe Microscopy in Buffer Solution" Biophysical J. 65: 2437-2446.	
		Koob et al., 1992, "RecA-AC: single-site cleavage of plasmids and chromosomes at any predetermined restriction site" Nucleic Acids Res. 20:5831.	

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		Filing Date	October 16, 2002
		First Named Inventor	David Charles Schwartz, et al.
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		Attorney Docket Number	960296.99047

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
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		Zenhausen et al., 1992, "Imaging of DNA by Scanning Force Microscopy", J. Struct. Biol. 108: 69-73.	
		Lyubchenko et al., 1992, "Atomic Force Microscopy Imaging of Double Stranded DNA and RNA", J. Biomol. Struct. and Dyn. 10: 589-606.	
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		Heng et al., 1992, "High-resolution mapping of mammalian genes by in situ hybridization to free chromatin", Proc. Natl. Acad. Sci. USA 89: 9509.	
		Maier et al., 1992, "Complete coverage of the Schizosaccharomyces pombe genome in yeast artificial chromosomes", Nat. Genet. 1:273.	
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		Chumakov et al., 1992, "Continuum of overlapping clones spanning the entire human chromosome 21q", Nature 359:380.	
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		Ferrin et al., 1991, "Selective Cleavage of Human DNA: RecA-Assisted Restriction Endonuclease (RARE) Cleavage", Science 254: 1494.	

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		Campbell et al., 1991, "Generation of a nested series of interstitial deletions in yeast artificial chromosomes carrying human DNA", Proc. Natl. Acad. Sci. USA 88:5744.	
		Cavalli-Sforza, 1990, "Opinion: How Can One Study Individual Variation for 3 Billion Nucleotides of the Human Genome", Am. J. Hum. Genet. 46: 649.	
		Koob et al., 1990, "Cleaving Yeast and Escherichia coli Genomes at a single site", Science 250: 271-273.	
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		Barlow et al., 1987, "Genetics by gel electrophoresis: the impact of pulsed field gel electrophoresis on mammalian genetics", Trends in Genetics 3: 167-177.	
		Burke et al., 1987, "Cloning of Large Segments of Exogenous DNA into Yeast by Means of Artificial Chromosome Vectors", Science 236: 806.	
		Church and Gilbert, 1984, "Genomic sequencing", Proc. Natl. Acad. Sci. USA 81: 1991.	

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		Luckham and Klein, 1984, "Forces between Mica Surfaces Bearing adsorbed Polyelectrolyte, Poly-L-lysine, in Aqueous Media", Chem. Soc. Faraday Trans. I, 80: 865-878.	
		Schwartz and Cantor, 1984, "Separation of Yeast Chromosome-Sized DNAs by Pulsed Field Gradient Gel Electrophoresis", Cell 37: 67.	
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		Rampino and Chrambach, 1991, "Conformational correlates of DNA band compression and bidirectional migration during field inversion gel electrophoresis, detected by quantitative video epifluorescence microscopy", Biopolymers 31: 1297-1307.	

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		Romling et al., 1989, "A physical genome map of Pseudomonas aeruginosa", EMBO J. 8(13): 4081-4089.	
		Smith et al., 1989, "Observation of Individual DNA Molecules Undergoing Gel Electrophoresis", Science 242: 203.	
		Kucherlapati et al., 1988, Genetic Recombination pp. 92-106.	
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		Carle et al., Electrophoretic Separations of Large DNA Molecules by Periodic Inversion of the Electric Field", Science 232: 65-68.	
		Poddar and Maniloff, 1986, "Chromosome analysis by two-dimensional fingerprinting", Gene 49: 93-102.	
		Stellwagen, N.C., 1985, "Orientation of DNA molecules in agarose gels by pulsed electric fields", J. Biomol. Str. and Dyn. 3(2): 299.	
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		Manuelidis et al., 1992, "High-resolution mapping of satellite DNA using biotin-labeled DNA probes", Biol. Abstr. 76(4), Ref. No. 27153, p. 2940.	

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				Application Number	10/713,898
				Filing Date	October 18, 2002
				First Named Inventor	David Charles Schwartz, et al.
				Group Art Unit	
				Examiner Name	
Sheet	7	of	9	Attorney Docket Number	960296.99047

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Chattoraj et al., 1978, "DNA Coordination with polyamines", J. Mol. Biol. 121: 327.	
		Ohi et al., 1978, "Mapping of Mitochondria 4S RNA genes in Xenopus laevis by electron microscopy", J. Mol. Biol. 121: 299.	
		Gurrieri et al., 1990, "Imaging of kinked configurations of DNA molecules undergoing orthogonal field alternating gel electrophoresis by fluorescence microscopy", Biochemistry 29: 3396-3401.	
		Bendich and Smith, 1990, "Moving pictures and pulsed-field gel electrophoresis show linear DNA molecules form chloroplasts and mitochondria" Current Genetics 17: 421-425.	
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		Stellwagen, 1988, "Effect of pulsed and reversing electric fields on the orientation of linear and supercoiled DNA molecules in Agarose Gels", Biochemistry 27: 6417.	
		Schwartz, et al., "Conformational Dynamics of Individual DNA Molecules During Gel Electrophoresis", Nature, Apr. 6, 1989, pp. 520-522.	
		Poddar et al., Chromosome analysis by two-dimensional fingerprinting", Gene, 49 (1986), pp. 93-102.	
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		Roemling et al., "A physical genome map of Pseudomonas aeruginosa", The EMBO Journal, Vol. 8, No. 13 (1989), pp. 4081-4089.	

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				Filing Date	October 18, 2002
				First Named Inventor	David Charles Schwartz, et al.
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		Yanagida et al., "Dynamic Behaviors of DNA Molecules in Solution...", Cold Sprng. Hrbr. Symp. Quant. Biol. 47, pp. 177-187, 1983.	
		Zubay, Biochemistry, 1988, pp. 918-919.	
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		Stellwagon, "Effect of Pulsed and Reversing Electric Fields..." Biochem. 17, 1988, pp. 6417-6424.	
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		K. R. Khrapko et al., "A Method For DNA Sequencing By Hybridization With Oligonucleotide Matrix", J. DNA Sequencing and Mapping, 1991, vol. 1, pp. 375-388.	

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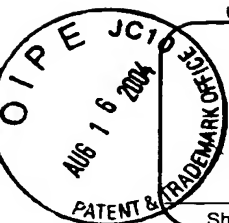
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Prior Art Cited in U.S. Patent No. 6,294,136

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Sheet 1

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Application Number	10/713,898
Filing Date	October 18, 2002
First Named Inventor	David Charles Schwartz, et al.
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Examiner Name	
Attorney Docket Number	960296.99047

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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,473,452	Sep., 1984	Cantor et al.	204/180
		US- 4,695,548	Sep., 1987	Cantor et al.	435/179
		US- 4,737,251	Apr., 1988	Carle et al.	204/182
		US- 4,767,700	Aug., 1988	Wallace	435/6
		US- 4,870,004	Sep., 1989	Conroy et al.	435/6
		US- 5,059,294	Oct., 1991	Lizardi	204/458
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		US- 5,314,829	May, 1994	Coles	436/165
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		US- 5,720,928	Feb., 1998	Schwartz	422/186
		US- 5,985,549	Nov., 1999	Singer et al.	435/6
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		FR 2605472	Apr., 1988	Alain Bouillet		
		WO 84/02001	May, 1984	Trustees of Columbia Univers		
		WO 87/01955	Sep., 1987	Washington University		

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OTHER PRIOR ART–NON PATENT LITERATURE DOCUMENTS			
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		Allison et al., 1992, "Immobilization of DNA for Scanning Probe Microscopy", Proc. Natl. Acad. Sci. USA 89: 10129-10133.	
		Barlow and Lehrach, 1987, "Genetics by Gel Electrophoresis: The Impact of Pulsed Field Gel Electrophoresis on Mammalian Genetics", Trends in Genetics 3: 167-171.	
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		Bustamante et al., 1992, "Circular DNA Molecules Imaged in Air by Scanning Force Microscopy", Biochemistry 31: 22-26.	
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		Chumakov et al., 1992, "Continuum of Overlapping Clones Spanning the Entire Human Chromosome 21q", Nature 359: 380-387.	
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		Guo et al., 1993, "Sizing of Large DNA Molecules by Hook Formation in a Loose Matrix", J. Biomol. Struct. and Dynam. 11: 1-10.	

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		Link and Olson, 1991, "Physical Map of the Saccharomyces cerevisiae Genome at 110-Kilobase Resolution", Genetics 127: 681-698.	
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		Poddar and Maniloff, 1986, "Chromosome Analysis by Two-Dimensional Fingerprinting", Gene 49: 93-102.	
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	Application Number		10/713,898
	Filing Date		October 18, 2002
	First Named Inventor		David Charles Schwartz, et al.
	Group Art Unit		
	Examiner Name		
Sheet	7	of	8
	Attorney Docket Number		960296.99047

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Southern, 1975, "Detection of Specific Sequences among DNA Fragments Separated by Gel Electrophoresis", J. Mol. Biol. 98: 503-517.	
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